



PTO/SB/17-(10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) \$130.00

**Complete if Known**

Application Number	09/934,936
Filing Date	August 22, 2001
First Named Inventor	Milhem
Examiner Name	Rachel F. Gorr
Art Unit	1711
Attorney Docket No.	RED 0001 PA/31805.1

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
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Name


The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ )

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X	<input type="text"/>	<input type="text"/>
Multiple Dependent	<input type="text"/>		<input type="text"/>		<input type="text"/>	<input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	\$130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

**SUBMITTED BY**

(Complete if applicable)

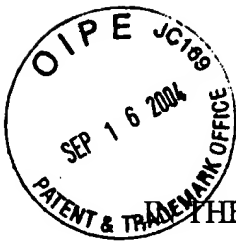
Name (Print/Type)	Richard A. Killworth	Registration No. (Attorney/Agent)	26,397	Telephone	(937) 449-6400
Signature	<i>Richard A. Killworth</i>	Date	September 13, 2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant : Richard E. Milhem  
Serial No. : 09/934,936  
Filed : August 22, 2001  
Title : DUAL CURE POLYUREA COATING COMPOSITION  
Docket No. : RED 0001 PA/31805.1  
Examiner : Rachel F. Gorr  
Art Unit : 1711

MAIL STOP PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING  
I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Commissioner of  
Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 on September 13, 2004

*R. A. Killworth*  
Richard A. Killworth, Reg. No. 26,397

Sir:

**PETITION FOR CHANGE OF INVENTOR'S NAME**

This Petition of Change of Inventor's Name is presented in accordance with 37 CFR §1.182 and MPEP §605.04(c).

The inventor of application Serial No. 09/934,936, Richard E. Milhem, has had his name legally changed to Richard Ernest Asher. A certified copy of the Judgment Entry-Change of Name of Adult issued by the Probate Court of Delaware County, Ohio entering this name change is submitted herewith.

Enclosed is our check in the amount of \$130.00 which represents payment of the petition fee pursuant to 37 CFR §1.17(h). Also enclosed is a revised Initial Information Data Sheet.

09/17/2004 WABDEL R1 00000077 09934936

01 FC:1460

130.00 OP

Ser. No. 09/934,936  
Docket No. RED 0001 PA/31805.1  
Page 2

Accordingly, applicant respectfully requests that application Ser. No. 09/934,936 be amended to reflect the name change of the inventor to Richard Ernest Asher.

Respectfully submitted,

DINSMORE & SHOHL, LLP

By Richard A. Killworth  
Richard A. Killworth  
Reg. No. 26,397

One South Main Street, Suite 1300  
One Dayton Centre  
Dayton, Ohio 45402-2023  
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**PROBATE COURT OF DELAWARE COUNTY, OHIO**

IN RE: CHANGE OF NAME OF Richard Ernest Milhem

To Richard Ernest Asher

(Present Name)

Case No. 204320

(Name Requested)

**JUDGMENT ENTRY - CHANGE OF NAME OF ADULT**

On July 28, 2004 an application for change of name was heard by this Court. The Court finds that proper notice of the application and hearing date was given by one publication in a newspaper of general circulation in this county at least thirty days prior to the hearing on the application. The Court further finds that reasonable and proper cause exists for changing the name.

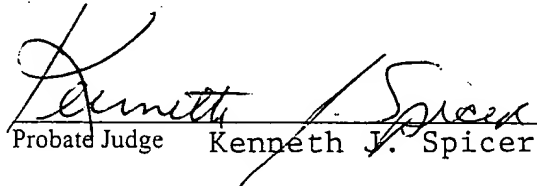
The Court finds that the applicant's complete name at birth was Richard Ernest Milhem, Jr.

applicant's date of birth was June 9, 1948, and the place of birth was

Johnstown Cambria Pennsylvania  
City County State

Therefore, it is **ORDERED** the name of Richard Ernest Milhem, Jr.

be changed to Richard Ernest Asher

  
\_\_\_\_\_  
Probate Judge Kenneth J. Spicer

**CERTIFICATION OF JUDGMENT ENTRY**

The above Judgment Entry - Change of Name of Adult is a true copy of the original kept by me as custodian of the records of this Court.

Probate Judge/Clerk

By Janis L. Crocker  
Deputy Clerk

9-10-04  
Date

**SCANNED**



## Initial Information Data Sheet

### Inventor Information

Inventor One Given Name:: Richard Ernest  
Family Name:: Asher  
Postal Address Line One:: 3710 Waverly Place  
City:: Lewis Center  
State or Province:: Ohio  
Postal or Zip Code:: 43035  
Citizenship Country:: USA

### Correspondence Information

Name Line One:: DINSMORE & SHOHL LLP  
Address Line One:: One Dayton Centre  
Address Line Two:: One South Main Street, Suite 1300  
City:: Dayton  
State or Province:: OH  
Postal or Zip Code:: 45402-2023  
Telephone:: (937) 449-6400  
Fax:: (937) 449-6405  
Electronic Mail:: cynthia.dwyer@dinslaw.com

### Application Information

Title Line One:: DUAL CURE POLYUREA COATING COMPOSITIONS  
Total Drawing Sheets:: 0  
Application Type:: Utility  
Docket Number:: RED 0001 PA/31805.1

### Representative Information

Registration Number One:: 26,397  
Registration Number Two:: 27,262  
Registration Number Three:: 29,001  
Registration Number Four:: 39,564  
Registration Number Five:: 38,769  
Registration Number Six:: 33,758  
Registration Number Seven:: 42,695  
Registration Number Eight:: 46,506  
Registration Number Nine:: 53,657  
Registration Number Ten:: 46,404